

# LEVEL 3A HEALTH FACILITY COMPLIANCE CHECKLIST (KENYA)

This checklist outlines the mandatory Ministry of Health and KMPDC requirements for operating a Level 3A healthcare facility in Kenya. Use this document as a self-assessment tool before inspection or licensing.

## 1. Facility Scope & Definition

- ■ Registered as a Level 3A health facility
- ■ Provides inpatient services
- ■ Provides maternity services including operative deliveries
- ■ Does not operate as a referral or specialist hospital

## 2. Infrastructure Requirements

- ■ Inpatient beds available (up to 24)
- ■ Dedicated maternity wing
- ■ Functional maternity theatre
- ■ Clear zoning between OPD, inpatient wards, and maternity
- ■ Mortuary or designated holding room for bodies
- ■ Backup generator and reliable water storage

## 3. Permitted Services

- ■ Inpatient admissions
- ■ Normal vaginal deliveries
- ■ Operative deliveries (Caesarean sections)
- ■ Minor surgical procedures
- ■ Laboratory services (Class C or D)
- ■ Ultrasound imaging services
- ■ Pharmacy services
- ■ Referral services to higher-level facilities

## 4. Equipment Requirements

- ■ Theatre lights and suction machines
- ■ Autoclave for sterilization
- ■ Caesarean section surgical sets

- ■ Ultrasound machine
- ■ Inpatient beds with drip stands
- ■ Emergency resuscitation equipment

## 5. Staffing Requirements

- ■ At least 1 Medical Officer
- ■ Minimum 3 Clinical Officers (shift coverage)
- ■ At least 6 Nurses across maternity, OPD, and inpatient
- ■ Laboratory technologist
- ■ Pharmacy technologist
- ■ Nutritionist
- ■ Cleaning and security staff
- ■ All staff appropriately licensed

## 6. Infection Prevention & Waste Management

- ■ Infection prevention protocols documented
- ■ Colour-coded waste segregation bins
- ■ Certified waste disposal contract or incinerator access
- ■ Elbow- or sensor-operated handwashing sinks in clinical areas

## 7. Compliance & Documentation

- ■ Valid facility license displayed
- ■ Practicing licenses for all staff available
- ■ Standard Operating Procedures (SOPs) in place
- ■ Quality improvement systems documented
- ■ Emergency and referral protocols available

## 8. Common Inspection Failure Points

- ■ Operating theatre without qualified staff
- ■ Offering services beyond licensed scope
- ■ Poor infection control practices
- ■ Inadequate zoning and patient flow
- ■ Missing or incomplete documentation

*Key Reminder: Level 3A facilities are true inpatient and maternity centres, but only within their defined scope. Operating beyond this scope leads to inspection failure, downgrading, or closure.*